

No. SHAEC1821595901

Date: 30 Sep 2018

Page 1 of 6

KINGTRONICS INTERNATIONAL COMPANY

Room H, Floor 4, 13 Yip Fung Street, Hong Kong

The following sample(s) was/were submitted and identified on behalf of the clients as : Chip tantalum electrolytic capacitor

SGS Job No. :	CQ19383211 - CQ
Model No. :	CA45-D010K477T
Date of Sample Received :	19 Sep 2018
Testing Period :	19 Sep 2018 - 30 Sep 2018
Test Requested :	Selected test(s) as requested by client.
Test Method :	Please refer to next page(s).
Test Results :	Please refer to next page(s).
Conclusion :	Based on the performed tests on submitted sample(s), the results of Cadmium, Lead, Mercury, Hexavalent chromium, Polybrominated biphenyls (PBBs), Polybrominated diphenyl ethers (PBDEs) and Phthalates such as Bis(2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl phthalate (DIBP) comply with the limits as set by RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU.

Signed for and on behalf of SGS-CSTC Standards Technical Services (Shanghai) Co., Ltd.

Dora Hu

Dora Hu Approved Signatory



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Cilent's instructions, if any. The Company's sole responsibility is to its Cilent and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, tion report & certificate, please contact us at telephone: (86-755) 8307 1443, testing /insp 3rdBuilding, No.889 Yishan Road Xuhui District, Shanghai China 200233 t E&E (86-21) 61402553 f E&E (86-21) 64953679

中国・上海・徐汇区宜山路889号3号楼 邮编: 200233

www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21) 61156899 e sgs.china@sgs.com



No. SHAEC1821595901

Page 2 of 6

Test Results :

Test Part Description :

Specimen No.	SGS Sample ID	Description
SN1	SHA18-215959.001	Black solid piece

Remarks :

- (1) 1 mg/kg = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected (< MDL)
- (4) "-" = Not Regulated

RoHS Directive (EU) 2015/863 amending Annex II to Directive 2011/65/EU

Test Method : With reference to IEC 62321-4:2013+AMD1:2017, IEC62321-5:2013, IEC62321-7-2:2017, IEC 62321-6:2015 and IEC62321-8:2017, analyzed by ICP-OES, AAS, UV-Vis and GC-MS.

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1000	mg/kg	2	ND
Mercury (Hg)	1000	mg/kg	2	ND
Hexavalent Chromium (Cr(VI))	1000	mg/kg	8	ND
Sum of PBBs	1000	mg/kg	-	ND
Monobromobiphenyl	-	mg/kg	5	ND
Dibromobiphenyl	-	mg/kg	5	ND
Tribromobiphenyl	-	mg/kg	5	ND
Tetrabromobiphenyl	-	mg/kg	5	ND
Pentabromobiphenyl	-	mg/kg	5	ND
Hexabromobiphenyl	-	mg/kg	5	ND
Heptabromobiphenyl	-	mg/kg	5	ND
Octabromobiphenyl	-	mg/kg	5	ND
Nonabromobiphenyl	-	mg/kg	5	ND
Decabromobiphenyl	-	mg/kg	5	ND
Sum of PBDEs	1000	mg/kg	-	ND
Monobromodiphenyl ether	-	mg/kg	5	ND
Dibromodiphenyl ether	-	mg/kg	5	ND
Tribromodiphenyl ether	-	mg/kg	5	ND
Tetrabromodiphenyl ether	-	mg/kg	5	ND



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability. Indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or emails CN Doccheck@sons.com

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com

Member of the SGS Group (SGS SA)



Test Report	No. SHAEC1821595901			Date: 30 Sep 2018		
<u>Test Item(s)</u>		<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>	
Pentabromodiphenyl ether		-	mg/kg	5	ND	
Hexabromodiphenyl ether		-	mg/kg	5	ND	
Heptabromodiphenyl ether		-	mg/kg	5	ND	
Octabromodiphenyl ether		-	mg/kg	5	ND	
Nonabromodiphenyl ether		-	mg/kg	5	ND	
Decabromodiphenyl ether		-	mg/kg	5	ND	
Di-butyl Phthalate (DBP)		1000	mg/kg	50	ND	
Benzyl Butyl Phthalate (BBP)		1000	mg/kg	50	ND	
Di-2-Ethyl Hexyl Phthalate (DEHP)		1000	mg/kg	50	ND	
Diisobutyl Phthalates (DIBP)		1000	mg/kg	50	ND	

Notes :

- (1)The maximum permissible limit is quoted from RoHS Directive (EU) 2015/863. IEC 62321 series is equivalent to EN 62321 series http://www.cenelec.eu/dvn/www/f?p=104:30:1742232870351101::::ESP_ORG_ID_ESP
 - http://www.cenelec.eu/dyn/www/f?p=104:30:1742232870351101::::FSP_ORG_ID,FSP_LANG _ID:1258637,25
- (2)On 4 June 2015, Commission Directive (EU) 2015/863 was published in the Official Journal of the European Union (OJEU) to include the phthalates BBP, DBP, DEHP and DIBP into ANNEX II of the Rohs Recast Directive. The new law restricts each phthalate to no more than 0.1% in each homogeneous material of an electrical product.
- (3)The restriction of DEHP, BBP, DBP and DIBP shall apply to medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, from 22 July 2021.
- (4)The restriction of DEHP, BBP, DBP and DIBP shall not apply to cables or spare parts for the repair, the reuse, the updating of functionalities or upgrading of capacity of EEE placed on the market before 22 July 2019, and of medical devices, including in vitro medical devices, and monitoring and control instruments, including industrial monitoring and control instruments, placed on the market before 22 July 2021.
- (5)The restriction of DEHP, BBP and DBP shall not apply to toys which are already subject to the restriction of DEHP, BBP and DBP through entry 51 of Annex XVII to Regulation (EC) No 1907/2006.



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.gg.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gg.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the somethet may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing finspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or compatibility in the some of the

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国·上海·徐汇区宜山路889号3号楼 邮编: 200233 tE&E (86-21) 61402553 fE&E (86-21)64953679 www.sgsgroup.com.cn tHL (86-21) 61402594 fHL (86-21)61156899 e sgs.china@sgs.com

Page 3 of 6



No. SHAEC1821595901

ATTACHMENTS

Pb/Cd/Hg/Cr⁶⁺/PBBs/PBDEs Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.gs.com/en/Terms-and-Conditions/Ierms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443,

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21) 64953679 www.sasgroup.com.cn

tHL (86-21) 61402594 fHL (86-21) 61156899 e sgs.china@sgs.com



No. SHAEC1821595901

ATTACHMENTS

Phthalates Testing Flow Chart





Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this lest report refer only to the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN. Doccheck@ses.com

3^{v4}Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com



No. SHAEC1821595901

Page 6 of 6

Sample photo:



SGS authenticate the photo on original report only

*** End of Report ***



Unless otherwise agreed in writing, this document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at http://www.sgs.com/en/Terms-and-Conditions.aspx and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Document.aspx. Attention is drawn to the limitation of liability. Indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the sample(s) tested. Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN. Doccheck@asos.com

3¹⁶Building,No.889 Yishan Road Xuhui District,Shanghai China 200233 中国・上海・徐汇区宜山路889号3号楼 邮编: 200233 t E&E (86-21) 61402553 f E&E (86-21)64953679 www.sgsgroup.com.cn t HL (86-21) 61402594 f HL (86-21)61156899 e sgs.china@sgs.com